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TRANSMITTAL  
FORM

(to be used for all correspondence after initial filing)

Application Number	10/026,570
Filing Date	December 20, 2001
First Named Inventor	Kwok-Wai Lem et al.
Group Art Unit	1755
Examiner Name	Not yet assigned
Attorney Docket Number	H0001203

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Petitions to the Commissioner <input checked="" type="checkbox"/> Request For Corrected Filing Receipt With A Copy Of the Official Receipt <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Form PTO-1449 ___ References <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 <input type="checkbox"/> Petition to Make Special <input type="checkbox"/> Statement pertaining to pre-examination search; and <input type="checkbox"/> Detailed discussion of the references submitted with the Information Disclosure Statement	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) - ___ Sheets <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Withdrawal of attorney as Attorney or Agent <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div>Return Receipt Postcard</div>
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Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Leslie Gladstone Restaino, Reg. No. 38,893
Signature	<i>Leslie S Restaino</i>
Date	July 11, 2002

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on this date July 11, 2002

Type or printed name	Marcy H. Mancuso
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Signature	<i>Marcy H. Mancuso</i>	Date	July 11, 2002
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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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**TRANSMITTAL FORM**  
(to be used for all correspondence after initial filing)

PIPE  
JUL 16 2002  
PATENT & TRADEMARK OFFICE

Application Number	10/026,570
Filing Date	December 20, 2001
First Named Inventor	Kwok-Wai Lem
Group Art Unit	1755
Examiner Name	To be assigned
Attorney Docket Number	H0001203

**ENCLOSURES (check all that apply)**

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<b>Remarks</b>		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Leslie Gladstone Restaino, Reg. No. 38,893
Signature	<i>Leslie S Restaino</i>
Date	July 11, 2002

**CERTIFICATE OF MAILING**

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07/ 11/2002

Type or printed name	Marcy Mancuso		
Signature	<i>Marcy Mancuso</i>	Date	July 11, 2002

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/026,570	12/20/2001	Kwok-Wai Lem	4820-5

CONFIRMATION NO. 9555

## FORMALITIES LETTER



\*OC000000007726718\*

Leslie Gladstone Restaino, Esq.  
Brown Raysman Millstein Felder & Steiner LLP  
55 Madison Avenue, 4th Floor  
Morristown, NJ 07960

Date Mailed: 03/27/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/19/2002 BABRAHA1 00000078 024270 10026570

01 FC:105 130.00 CH



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Kwok-Wai Lem et al.      Group Art Unit: 1755  
Serial No.: 10/026,570      Examiner: To be assigned  
Filed: December 20, 2001      Docket No. H0001203  
For: **PHYSICAL COLORED INKS AND COATINGS**

July 11, 2002

Commissioner for Patents  
Washington, D.C. 20231

Attention: Customer Service Center  
Initial Patent Examination Division

Sir/Madam:

**RESPONSE TO NOTICE TO FILE MISSING PARTS AND  
REQUEST FOR A TWO (2) MONTH EXTENSION OF TIME**

Applicants are in receipt of the Notice to File Missing Parts of Nonprovisional Application ("the Notice"), dated March 27, 2002 and hereby request a two (2) month extension of time for response.

In response to the notification that the oath or declaration is missing, applicants enclose declaration and power of attorney forms which have been executed by inventors Kwok-Wai Lem and Ronald P. Rohrbach.

Authorization is hereby provided to charge Deposit Account no. 02-4270 the amount of \$530.00 (\$130.00 fee for late filing of a declaration, and the \$400.00 fee for a two month extension of time).

Applicant would also like to request that all correspondence for this case be sent to the following address:

07/19/2002 BABRAHA1 00000073 024270 10026570

02 FC:116      400.00 CH      Honeywell International, Inc.  
Law Department AB2  
P.O. Box 2245  
Morristown, NJ 07962-9806

In view of the foregoing, it is submitted that the application is complete. A copy of the Notice is enclosed herein.

Respectfully submitted,

By: Leslie Gladstone Restaino

Leslie Gladstone Restaino

Reg. No. 38,893

(973) 775-8930

Brown Raysman Millstein Felder &  
Steiner LLP

163 Madison Avenue

P.O. Box 1989

Morristown, New Jersey 07962-1989

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## UNITED STATES PATENT AND TRADEMARK OFFICE

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 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/026,570	12/20/2001	1755	1262	4820-5	3	21	9

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CONFIRMATION NO. 9555

## FILING RECEIPT



\*OC000000007726717\*

 Leslie Gladstone Restaino, Esq.  
 Brown Raysman Millstein Felder & Steiner LLP  
 55 Madison Avenue, 4th Floor  
 Morristown, NJ 07960


Date Mailed: 03/27/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Kwok-Wai Lem, Residence Not Provided;

Domestic Priority data as claimed by applicant

Foreign Applications

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

## Title

Physical colored inks and coatings

Preliminary Class

106

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#5

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Kwok-Wai Lem et al.  
Serial N. 10/026,570  
Filed: December 20, 2001  
For: Physical Colored Inks and Coatings

Docket No.: H0001203  
Group No.: 1755  
Examiner: Not yet assigned

Assistant Commissioner for Patents  
Washington, D.C. 20231

REQUEST FOR CORRECTED FILING RECEIPT

- Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
- There is an error with respect to the following data, which is:

☒ incorrectly entered

and/or

☒ omitted.

- |  | Error in                   |
|--|----------------------------|
| 1. <input checked="" type="checkbox"/> | Applicant's name           |
| 2. <input checked="" type="checkbox"/> | Applicant's address        |
| 3. <input type="checkbox"/>            | Title                      |
| 4. <input type="checkbox"/>            | Filing Date                |
| 5. <input type="checkbox"/>            | Serial Number              |
| 6. <input checked="" type="checkbox"/> | Other: Attorney Docket No. |

- |    | Correct Data   |
|----|--|
| 1. | Kwok-Wai Lem and<br>Ronald P. Rohrbach   |
| 2. | 11 Old Church Road<br>Randolph, New Jersey 07968 and<br>430 Cherryville Road<br>Flemington, New Jersey 08822 |
| 3. |  |
| 4. |  |
| 5. |  |
| 6. | H0001203   |

Reg. No.: 38,893

Tel. No.: (973) 775-8930

Customer No.: 30551

Leslie Gladstone Restaino  
SIGNATURE OF PRACTITIONER

Leslie Gladstone Restaino

(type or print name of practitioner)

Brown Raysman Millstein Felder & Steiner LLP

163 Madison Avenue, P.O. Box 1989

Morristown, New Jersey 07962-1989

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8a)

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

FACSIMILE

☐ transmitted by facsimile to the Patent and Trademark Office.

Date: July 11, 2002

Marcy Mancuso  
Signature  
Marcy Mancuso

(type or print name of person certifying)